

NONPROVISIONAL PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400
Facsimile: (703) 836-2787

11129 U.S. PTO

10/05/01

Attorney Docket No.: 110596

Date: October 5, 2001

BOX PATENT APPLICATION

Customer Number: 25944

NONPROVISIONAL APPLICATION TRANSMITTAL
RULE §1.53(b)

Director of the U.S. Patent and Trademark Office
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): APPARATUS AND METHODS FOR PROCESSING SURFACE OF SEMICONDUCTOR SUBSTRATE

By (Inventors): Koji SUZUKI

- ☒ Formal drawings (Figs. 1-5; 5 sheets) are attached.
 - ☐ Use Figure _____ for front page of Publication.
- ☒ A Declaration and Power of Attorney is filed herewith.
- ☒ This patent application is assigned to KAWASAKI MICROELECTRONICS INC..
- ☒ The executed Assignment is filed herewith.
- ☐ An Information Disclosure Statement is filed herewith.
- ☐ Entitlement to small entity status is hereby asserted.
- ☐ A Preliminary Amendment is filed herewith.
- ☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. _____, filed _____--
- ☒ Priority of foreign application No. 2000-307237 filed October 6, 2000 in Japan is claimed (35 U.S.C. §119).
- ☐ A certified copy of the above corresponding foreign application is filed herewith.
- ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☒ The filing fee is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF
 ANY PRELIMINARY AMENDMENT NOTED ABOVE**

| FOR: | NO. FILED | NO. EXTRA |
|--|-----------|-----------|
| BASIC FEE | | |
| TOTAL CLAIMS | 22 - 20 | = 2 |
| INDEP CLAIMS | 10 - 3 | = 7 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED | | |

* If the difference is less than zero, enter "0".

SMALL ENTITY

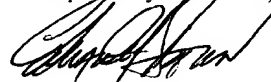
| RATE | FEE |
|--------------|-----------|
| | \$ 370 |
| x 9 = | \$ |
| x 42 = | \$ |
| + 140 = | \$ |
| TOTAL | \$ |

**OTHER THAN A
 SMALL ENTITY**

| RATE | FEE |
|--------------|----------------|
| | \$ 740 |
| x 18 | \$ 36 |
| x 84 | \$ 588 |
| + 280 | \$ |
| TOTAL | \$1,364 |

- ☒ Check No. 123594 in the amount of \$1,364.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,



James A. Oliff
 Registration No. 27,075

Edward A. Brown
 Registration No. 35,033